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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 6

Complete if Known

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|------------------------|-------------|
| Application Number | 10/037,146 |
| Filing Date | 12/21/2001 |
| First Named Inventor | Painter |
| Art Unit | 2874 |
| Examiner Name | Eric K Wong |
| Attorney Docket Number | CQC13NP |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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Sheet 2 of 6**Complete if Known**

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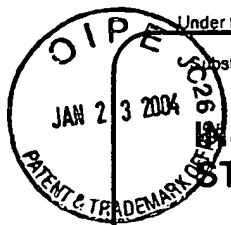
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Sheet 4 of 6

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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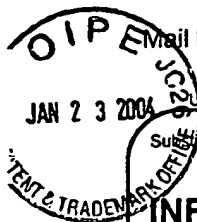
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Examiner Name

Eric K Wong

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| | | First Named Inventor | Painter |
| | | Art Unit | 2874 |
| | | Examiner Name | Eric K Wong |
| Sheet 2 | of 2 | Attorney Docket Number | CQC13NP |

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| EW | | BLOM, F.C.; VAN DIJK, D.R.; HOEKSTRA, H.J.W.M.; DRIESSEN, A.; and POPMA, T.J.A. Experimental study of integrated-optics microcavity resonators: Toward an all-optical switching device. Appl Phys Lett vol 71 no 6 pp 747-749 (1997) | |
| EW | | LITTLE, B.E.; HAUS, H.A.; FORESI, J.S.; KIMERLING, L.C.; IPPEN, E.P.; and RIPIN, D.J. Wavelength switching and routing using absorption and resonance. IEEE Phot Tech Lett vol 10 no 6 pp 816-818 (1998) | |
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